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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/085,440
	Filing Date	02/28/2002
	First Named Inventor	Tillman et al
	Art Unit	2154
	Examiner Name	TBA
Total Number of Pages in This Submission	Attorney Docket Number	111460.125US1

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Postcard
Remarks 1. PTO Form 1449 2. Two publications 3. International Search Report PCT/US03/06027		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		RECEIVED
Firm or Individual name	Gary A. Walpert	JUN 17 2003
Signature		Technology Center 2100
Date	6/12/03	

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Tina M. Dougal		
Signature		Date	6/12/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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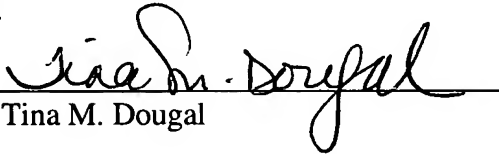


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Tillman et al.
Serial No.: 10/085,440
Filing Date: February 28, 2002
For: OPTICAL COMMUNICATION APPARATUS AND METHOD
Examiner: TBA
Group Art Unit: 2154
Attorney Docket No.: 111460.125US1

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 12, 2003.


Tina M. Dougal

Commissioner for Patents
P.O. Box 1450
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INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-98, Applicants bring to the attention of the Examiner the following publications listed on the attached Form PTO-1449.

Applicants state under 37 C.F.R. §1.97(e)(1) that the following publications were cited in an International Search Report issued in connection with a counterpart Patent Cooperation Treaty application not more than three months prior to the filing of this statement.

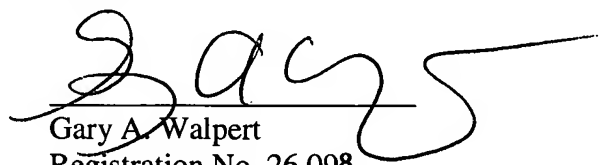
Copies of the publications listed on the attached Form PTO-1449 are submitted herewith. It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office communication.

This submission does not constitute a representation by Applicants that a search has been made or that no better art exists and does not constitute an admission that any of the listed publications are material or constitute "prior art." Applicants reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed publications, should one or more of the publications be applied against the claims of the present application.

No fee is believed to be due in connection with the filing of this Statement. However, in the event a fee is due, please charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Dated: June 12, 2003

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Gary A. Walpert", written over a horizontal line.

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